

Takahashi, A. *Theoretical Background for Transmutation Reactions, PowerPoint slides.* in *Tenth International Conference on Cold Fusion*. 2003. Cambridge, MA: LENR-CANR.org.

Slide 1

Theoretical Background for Transmutation Reactions

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presented at Short Course by ICCF10, Boston USA, August 24 2003

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Speculated Mechanism-1:

1) D-Cluster Resonance Fusion in Lattice and Products

a) 23.8 MeV ^4He -Particles by 4D Fusion

b) 47.6 MeV ^8Be -Particles by 8D fusion

2) Transmutation by Secondary Reactions

2-1) $M(A,Z) + ^4\text{He} \longrightarrow M(A+4,Z+2)$, Fission, etc.

2-2) $M(A,Z) + ^8\text{Be} \longrightarrow M(A+8,Z+4)$, Fission, etc.

Speculated Mechanism-2 :

a) Selected Channel Fission Model

Model Check by $^{235}\text{U} + n$ Fission
Application to $A < 200$ Nuclei
Pd, W, Au

b) Estimation for Fission Products

Mass Distribution
Element Distribution
Isotopic Ratios
Radioactivity
: Comparison with Claimed Experimental CF Data

Major Claims by Experiments

1) **Excess Heat with ^4He Generation**

Miles, Arata, McKubre, Gozzi, Isobe, and so on

2) **Very Weak Neutrons Generation**

Takahashi, Jones, and so on

3) **Anomalous Enhancement of D-Fusion**

Kitamura, Kasagi, Takahashi, and so on

4) **Selective Transmutations**

Iwamura, Mizuno, Miley, Ohmori, and so on

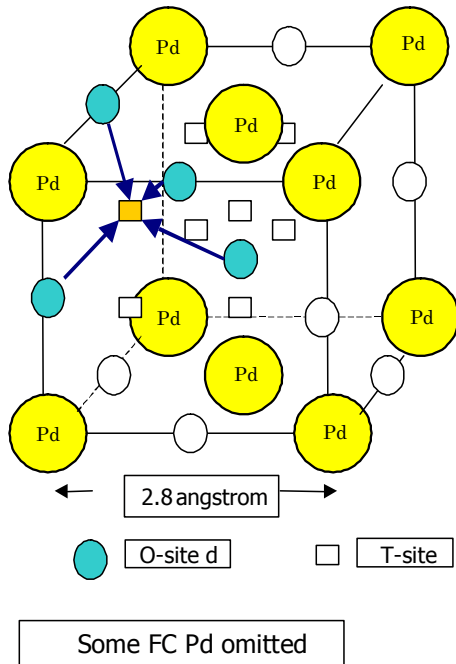
OUTLINE-1 : D-Cluster Fusion

Transient D-Cluster Condensation in PdDx Lattice

Transient Quasi-Particle State of Electrons (e^*) and DDe* State Potentials to Realize Super-Screening for Fusion

Resonance Multi-Body Fusion: 3D, 4D, 8D to Produce ^4He and Mass-8 & Charge-4 Increased Transmutation

Tetrahedral Condensation of D-Cluster



**Transient Bose
Condensation of Deuterons**

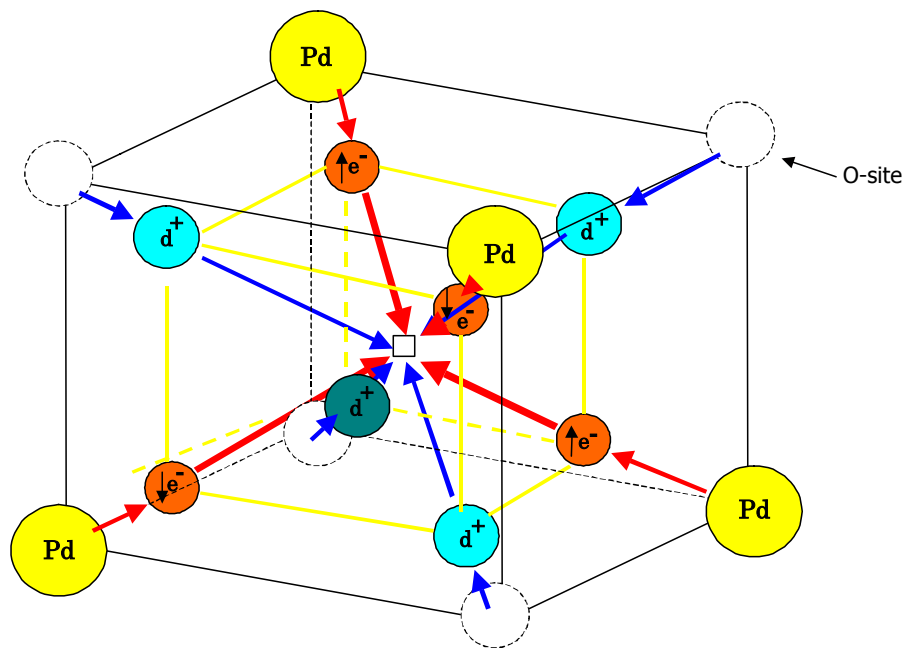
From O-site to T-site

**Associating Transient
Squeezing (**Bosonization**)
of 4d-shell Electrons**

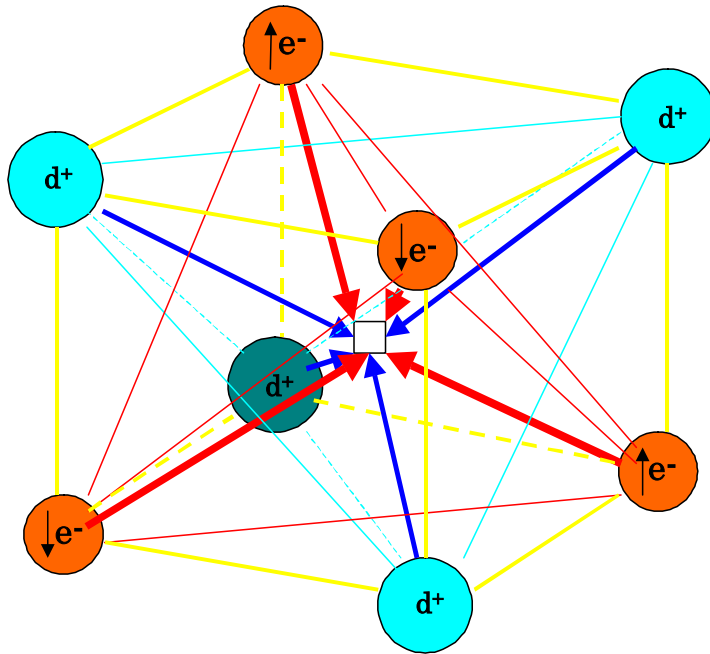
**Generation of Short-Life
Quasi-Particle e^* like
Cooper-pair**

**D-Cluster as Mixture of
 DDe , $DDee$, DDe^* , DDe^*e^***

Tetrahedral Condensation of Deuterons in PdDx



Classical View of Tetrahedral Condensation



Transient
Combination
of Two D2
Molecules
(upper and
lower)

Squeezing
From O-Sites to
T-site

3-dimension
Frozen State for
 $4d+s$ and $4e-s$

Quadruplet e^*
(4,4)

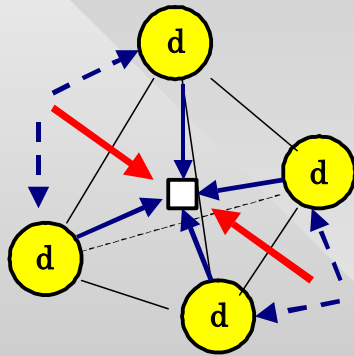
Formation of
Electrons
around
T-site

Transform from 3-dim to 2-dim for TCC

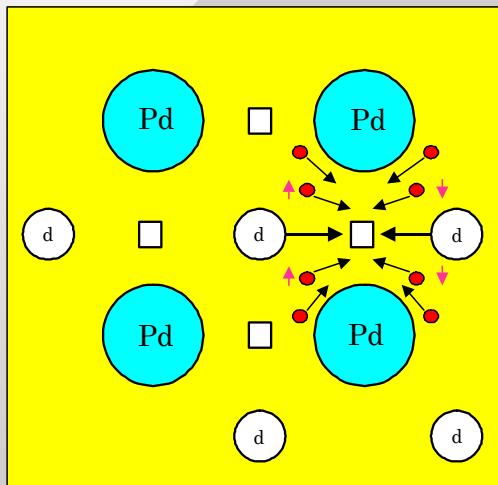
IN Tetrahedral
"coherent"
Condensation (TCC),

Sum Momentum Vectors
(red) for two deuterons
become mirror-
symmetric in each other
on a line,

So that 3-dim TCC is
transformed to 2-dim
squeezing problem



Two-Dimensional View of Transient D-Condensation



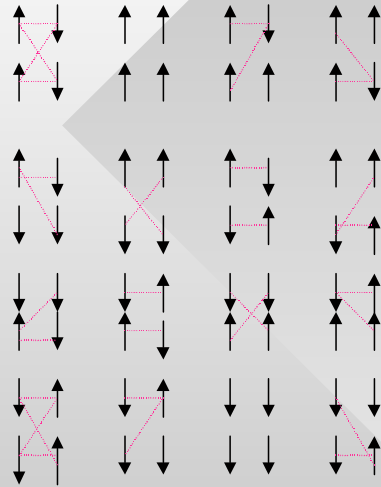
Lattice Phonon + Plasmon ($d^+ + e^-$)

Generation of e^* by Transit Pairing of Electrons ($k\uparrow, -k\downarrow$)

Overcome Femi-Gas Limitation (Pauli exclusion) for d-d screening

Superposition of dde, ddee, dde* and dde*e*
Transient Molecular States

Combination Probability for TEQP Generation



<Cooper Pair>
= 12/16

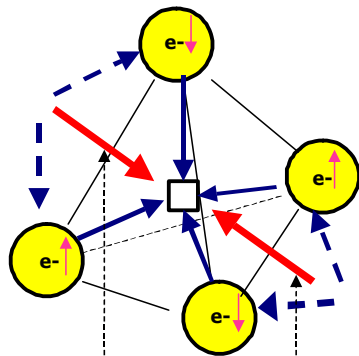
<Quadru Coupling>
= 2/16

<No Pair> = 2/16

Broken lines show pairing of spin-and-momentum-reversed electrons in Tetrahedral Coherent Condensation

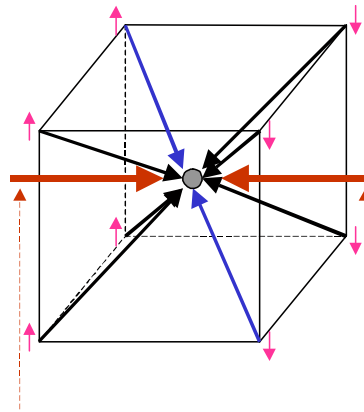
Quadruplet and Octal-Coupling of Electrons

Quadruplet $e^*(4,4)$



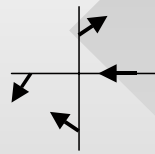
Sum Momentum Vector

Octal-Coupling $e^*(8,8)$

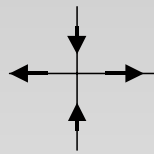


Sum Momentum Vector

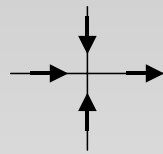
Combination Probability of EQPET Molecule by Tetrahedral "Coherent" Condensation (TCC)



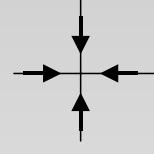
(a) incoherent



(b) anti-coherent



(c) coherent

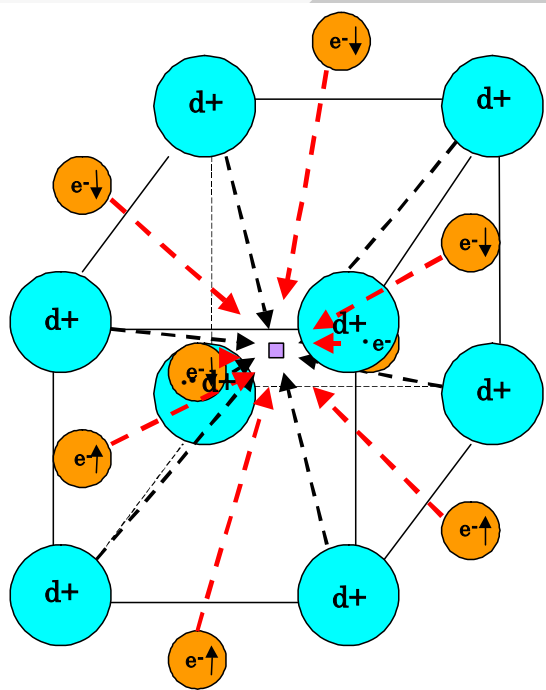


(d) coherent

TCC

- $\langle dde^{*(2,2)} \rangle = (12/16) \times (1/4) = 18.75\%$
- $\langle dde^{*(4,4)} \rangle = (2/16) \times (1/4) = 3.12\%$
- **$\langle \text{EQPET Molecule Total} \rangle = 21.87\%$**
- (c.f. 18 % by EODD for $R_{dd} < 0.1$ angstrom)

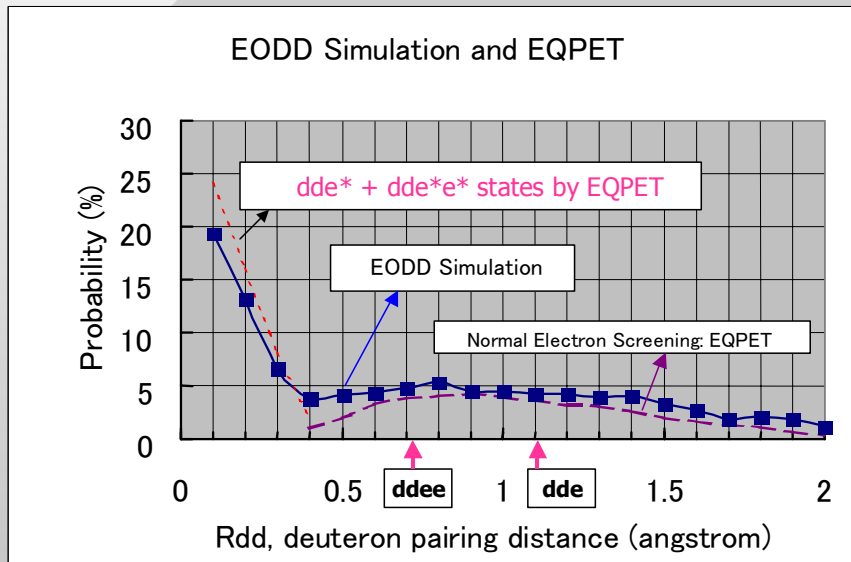
Octahedral Symmetric (Coherent) Condensation



When 4 e^- down-spins are arranged on upper half with 4 e^- up-spins on lower half, Averaged charge-neutral condensation is Possible to form central $e^*(8,8)$ Transient Quasi-Particle State at O-site

Transient Molecular States by EQPET

- EQPET: Electronic Quasi-Particle Expansion Theory
- EODD: Electron Orbit Deformation Dynamics simulation (Kirkinskii-Novikov)



Fusion Rate of D-Cluster

① : D-Cluster Formation

Process:

$$F_{nD} = \langle \Psi_1^2 \rangle \langle \Psi_2^2 \rangle \langle \Psi_3^2 \rangle \dots \langle \Psi_n^2 \rangle$$

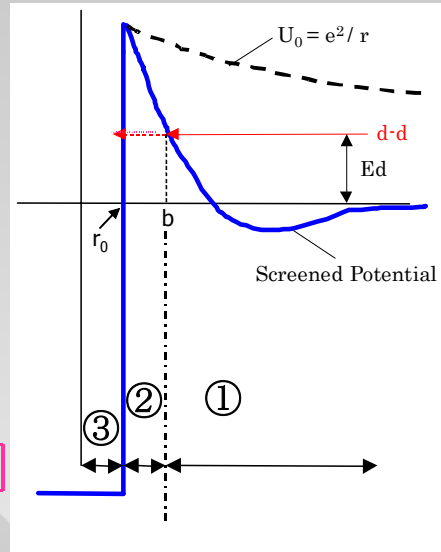
② : Barrier Penetration Process:

$$P_B = \exp(-n \Gamma_n)$$

③ : Nuclear Fusion Process

$$\sigma = S_{nD} / E_d$$

$$\langle \text{Fusion Rate} \rangle = \sigma v * P_B * F_{nD}$$



Barrier Factor for Screened Potential

Gamow Integral over b to r0

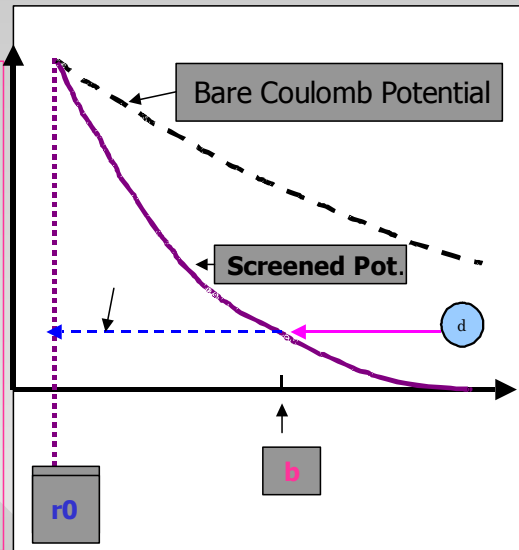
$$\Gamma_n = (2\mu)^{1/2}/h \int (V_s(r) - E_d)^{1/2} dr$$

$V_s(r)$: Screened Potential for a d-d pair in a TRF or ORF cluster of n deuterons

b is important parameter to be estimated

b should be far less than 70 pm

r0 is about 5 fm for contact surface reaction of strong interaction



EQPET: continued-1

“Bosonized” electron wave function Ψ_N for N-electrons system in MDx lattice will be approximated by a linear combination of normal electron wave function $\Psi_{(1,1)G}$ and quasi-particle wave functions $\Psi_{(2,2)G}$, $\Psi_{(4,4)G}$ and $\Psi_{(8,8)G}$ as;

$$|\Psi_N\rangle = a_1 |\Psi_{(1,1)G}\rangle + a_2 |\Psi_{(2,2)G}\rangle + a_4 |\Psi_{(4,4)G}\rangle + a_8 |\Psi_{(8,8)G}\rangle \quad (3)$$

For the time-window of potential deep hole ^{1,2}, effective (time-averaged) screening potential, for a d-d pair in a transient D-cluster of 4-8 deuterons for TRF and ORF condition², can be defined by a **screened potential of quasi-particle complex**;

$$V_s(\mathbf{R}) = b_1 V_{s(1,1)}(\mathbf{R}) + b_2 V_{s(2,2)}(\mathbf{R}) + b_4 V_{s(4,4)}(\mathbf{R}) + b_8 V_{s(8,8)}(\mathbf{R}) \quad (9)$$

EQPET: continued-2

For a dde* or dde*e* molecule,

wave function of a d-d pair (2D) is given by the solution of the following Schroedinger equation:

$$(-\hbar^2/(8\pi\mu))\nabla^2 X(\mathbf{R}) + (V_n(\mathbf{R}) + V_s(\mathbf{R}))X(\mathbf{R}) = E X(\mathbf{R}) \quad (11)$$

By Born-Oppenheimer approximation, we assume as,

$$X(\mathbf{R}) = X_n(\mathbf{R}) X_s(\mathbf{R}) \quad (12)$$

Overlapping rate of $X(\mathbf{R})$ at $\mathbf{R} = \mathbf{r}_0$ gives estimation of **d-d fusion rate** λ_{2d} as:

$$\begin{aligned} \lambda_{2d} &= G |X(\mathbf{R})|^2_{\mathbf{R}=\mathbf{r}_0} \\ &= G |X_n(\mathbf{R})|^2_{\mathbf{R}=\mathbf{r}_0} |X_s(\mathbf{R})|^2_{\mathbf{R}=\mathbf{r}_0} \quad (13) \end{aligned}$$

EQPET: continues-3

Using WKB approximation for the barrier ($V_s(R)$) penetration probability,

$$|X_s(R)|^2_{R=r_0} = \exp(-2\Gamma_n(E_d)) \quad (14)$$

;Barrier Factor (BF)

where E_d is the relative deuteron energy and Γ_n is Gamow integral for a d-d pair in D-cluster (n-deuterons with electrons) that is defined as:

$$\Gamma_n(E_d) = (2\mu)^{1/2}/(\hbar/\pi) \int_{r_0}^b (V_s(R) - E_d)^{1/2} dR \quad (15)$$

Using astrophysical S-factor for strong interaction,

$$G |X_n(R)|^2_{R=r_0} = vS_{2d}(E_d)/E_d \quad (16)$$

Consequently we can approximately define fusion rate as:

$$\lambda_{2d} = (vS_{2d}(E_d)/E_d) \exp(-2\Gamma_n(E_d)) \quad (17)$$

Screened Potential of EQPET Molecule

Using the Single Particle Approximation, for e^* , screened potential is given by applying solutions in Pauling's book:

For dde^* ,

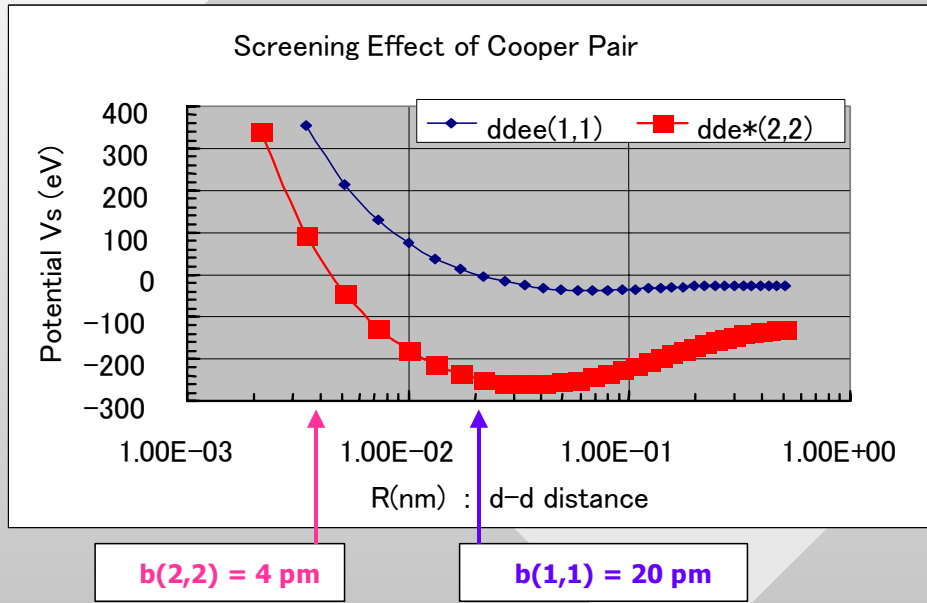
$$V_s(R) = V_h + e^2/R + (J + K)/(1 + \Delta)$$

For dde^*e^* ,

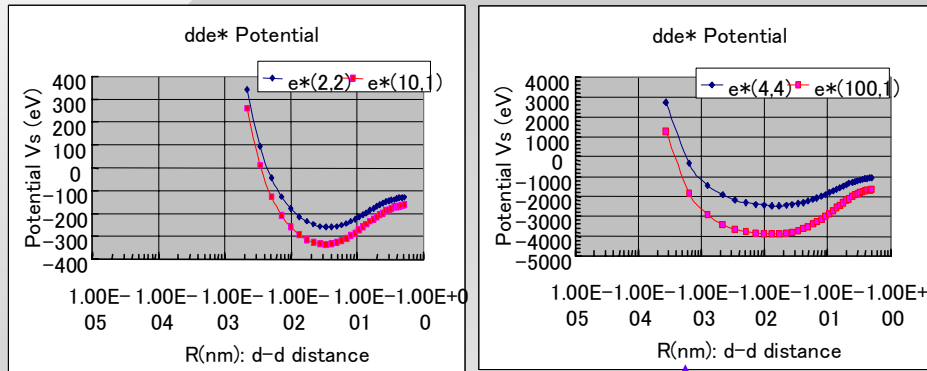
$$V_s(R) = 2V_h + e^2/R + (2J + J' + 2\Delta K + K')/(1 + \Delta^2)$$

For de^* , $V_h = -13.6(e^*/e)^2(m^*/m_e)$

Screening Effect by EQPET Molecules



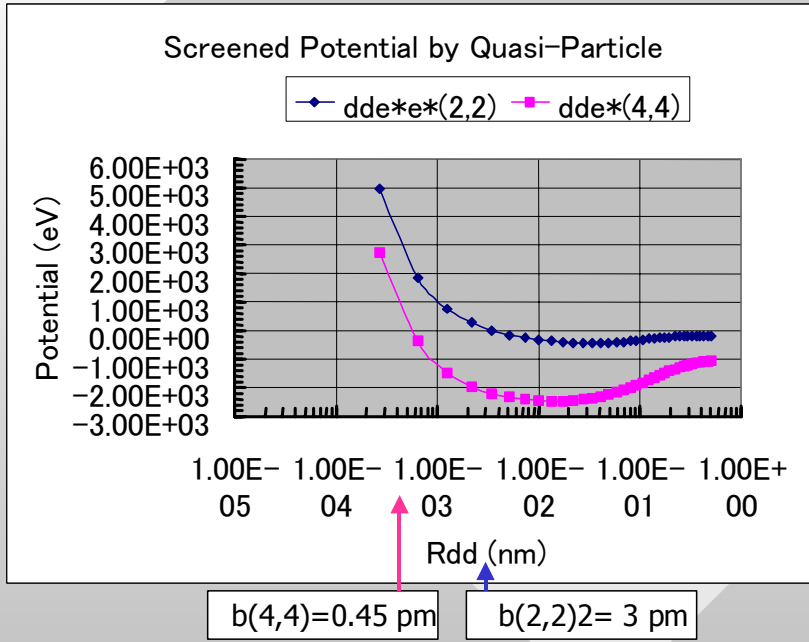
Screening Effect: EQPET Molecule vs. Heavy Fermion



$b(4,4) = 450 \text{ fm}$

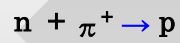
Cooper pair (single particle) works as strong as mass 10 fermion
 Pairing of $e^*(2,2)$ s works as strong as mass 100 fermion

Screening Effect by Quasi-Particle



Scaling of PEF (Pion Exchange Force) for Nuclear Fusion

Two Body Interaction: $PEF = 1$



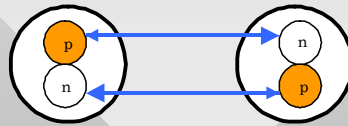
(udd) (ud*) (uud) : u ; up quark



(uud) (u*d) (udd) : u* ; anti-up quark

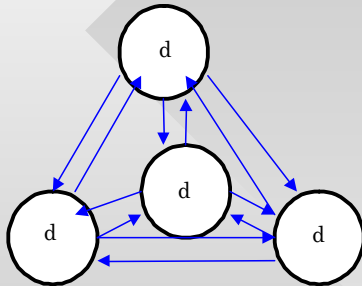
: d* ; anti-down quark

For D + D Fusion; $PEF = 2$

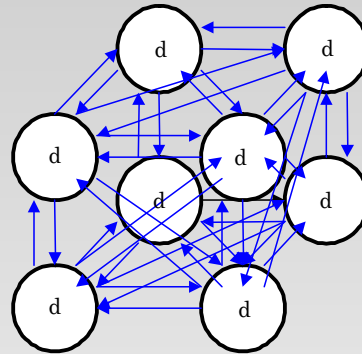


PEF Scaling for Multi-Body Fusion

4D Fusion; PEF = 12

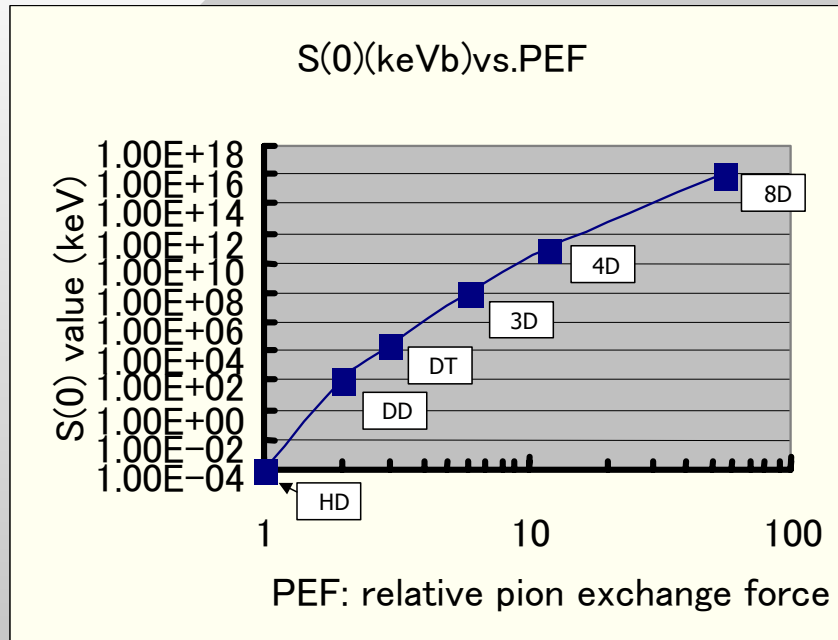


8D Fusion; PEF = 56



Ideally Symmetric PEF enhances Contact Surface of Nuclear Fusion
with short range (few fm) charged-pion exchange

Effective $S(0)$ -values for Multi-Body D-Fusion



Barrier Factors (BF) and Fusion Rates (FR)

$E_d = 0.22\text{eV}$

(m^*, e^*)	Barrier Factor				Fusion Rate (f/s/cl)			
	2D	3D	4D	8D	2D	3D	4D	8D
(0,0)	E-1685				E-1697			
(1,1)	E-125	E-187	E-250	E-500	E-137	E-193	E-252	E-499
(2,1)	E-53	E-80	E-106	E-212	E-65	E-86	E-108	E-211
(2,2)	E-7	E-11	E-15	E-30	E-20	E-17	E-17	E-29
(4,4)	(3E-4)	E-5	E-7	E-14	(E-16)	E-11	E-9	E-13
(8,8)	(4E-1)	(2E-1)	(1E-1)	2E-2	(E-13)	(E-7)	(E-3)	E-1

() is virtual rate

Modal Fusion Rates

Modal Fusion Rates are defined as:

$$\lambda_{2d} = a_1^2 \lambda_{2d(1,1)} + a_2^2 \lambda_{2d(2,2)}$$

$$\lambda_{3d} = a_1^2 \lambda_{3d(1,1)} + a_2^2 \lambda_{3d(2,2)} + c_4 a_4^2 \lambda_{3d(4,4)}$$

$$\lambda_{4d} = a_1^2 \lambda_{4d(1,1)} + a_2^2 \lambda_{4d(2,2)} + a_4^2 \lambda_{4d(4,4)}$$

$$\lambda_{8d} = a_1^2 \lambda_{8d(1,1)} + a_2^2 \lambda_{8d(2,2)} + a_4^2 \lambda_{8d(4,4)} + a_8^2 \lambda_{8d(8,8)}$$

Modal Fusion Rates for Tetrahedral Symmetric Condensation

$$a_1^2 = 0.781, a_2^2 = 0.187,$$

$$a_4^2 = 0.0312, a_8^2 = 0.0$$

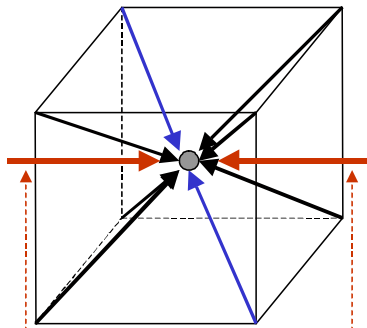
$$\lambda_{2d} = 1.87E-21 \text{ (f/s/cl)}$$

$$\lambda_{3d} = 1.55E-13 \text{ (f/s/cl)}$$

$$\lambda_{4d} = 3.12E-11 \text{ (f/s/cl)}$$

Modal Fusion Rates for Octahedral Condensation

Octahedral Condensation



Sum momentum vector

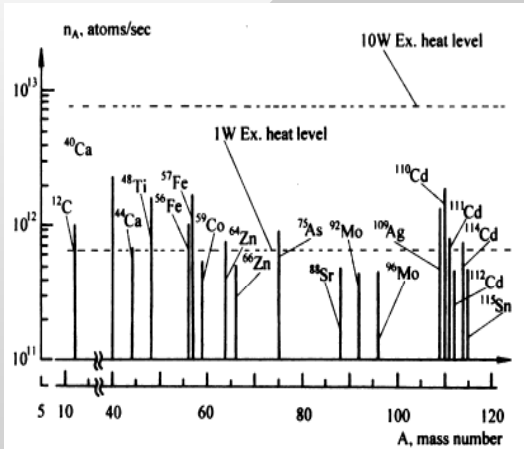
- $\langle \text{octal coupling} \rangle = (2/256) \times (1/8) = 0.0078 = a_8^2$
- $\langle \text{quadru coupling} \rangle = (144/256) \times (1/8) = 0.0703 = a_4^2$
- $\langle \text{Cooper pair} \rangle = ((108/256) + (2/4) \times (1/7)) \times (1/8) = 0.0792 = a_2^2$
- $\langle \text{Normal e} \rangle = 0.8427 = a_1^2$
- $\lambda_{2d} = 7.9E-22 \text{ (f/s/cl)}$
- $\lambda_{3d} = 3.5E-13 \text{ (f/s/cl)}$
- $\lambda_{4d} = 7.0E-11 \text{ (f/s/cl)}$
- $\lambda_{8d} = 7.8E-4 \text{ (f/s/cl)}$

Major Products of D-Cluster Fusion

- 1) $3D \rightarrow Li-6^* \rightarrow d + He-4 + 23.8 \text{ MeV},$
 $t-3 + He-3 + 9.5 \text{ MeV}$
- 2) $4D \rightarrow Be-8^* \rightarrow 2xHe-4 + 47.6 \text{ MeV}$
- 3) $5D \rightarrow B-10^* (53.7 \text{ MeV})$
- 4) $6D \rightarrow C-12^* (75.73 \text{ MeV})$
- 5) $7D \rightarrow N-14^* (89.08 \text{ MeV})$
- 6) $8D \rightarrow O-16^* (109.84 \text{ MeV}) \rightarrow 2xBe-8 + 95.2 \text{ MeV}$

- $4D$ and $8D$ Fusion can be selective because of resonant pion exchange
- $5D$, $6D$ and $7D$ processes partially attain $4D$ resonance.

Karabut Data and Pd + ⁴He Reactions

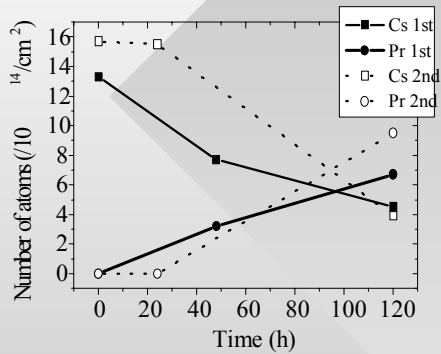


Impurity production rates in Pd cathode of D2 glow-discharge plus SIMS, by Karabut, Proc. ICCF9, 2002

- Secondary Reactions by 23.8MeV ⁴He of 4D TRF
- $^{105}\text{Pd} + ^4\text{He} \rightarrow ^{109}\text{Cd}^*(1.27\text{y})$
- $^{106}\text{Pd} + ^4\text{He} \rightarrow ^{110}\text{Cd}$
- $^{108}\text{Pd} + ^4\text{He} \rightarrow ^{112}\text{Cd}$
- $^{110}\text{Pd} + ^4\text{He} \rightarrow ^{114}\text{Cd}$
- $^{107}\text{Pd}^*(6.5 \times 10^6 \text{ y}) + ^4\text{He} \rightarrow ^{111}\text{Cd}$

^{109}Ag might be ^{109}Cd ?

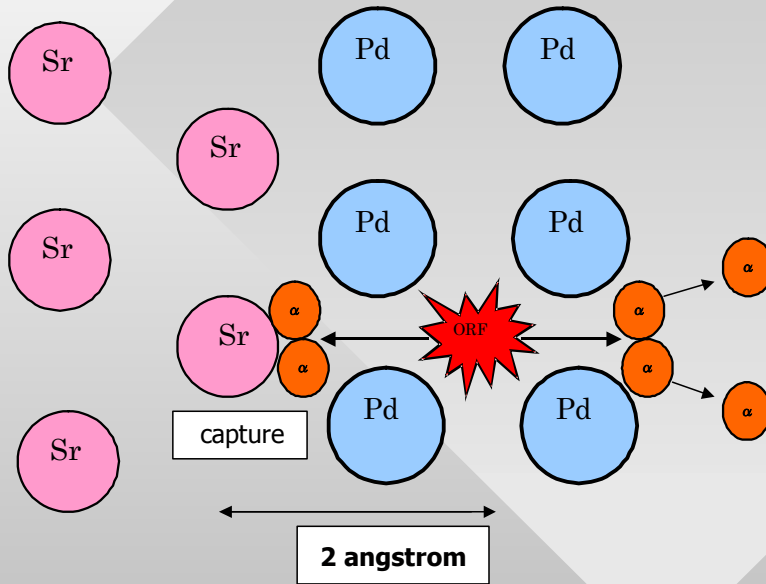
A-8 and Z-4 Increased Transmutation by MHI



- Cs(A=133, Z=55) to Pr(A=141, Z=59)
- Sr(A=88, Z=38) to Mo(A=96, Z=42)
- $M(A,Z) + {}^8\text{Be}(47.6\text{MeV})$ by 8D ORF

MHI D-permeation experiment
with Pd complexes,
Iwamura et al., Proc. ICCF9

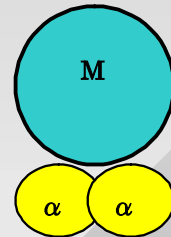
Transmutation by 8D fusion of ORF Condensation



^8Be Absorption Reaction for Transmutation

- $8\text{D} \rightarrow 2 \times ^8\text{Be} + 95.2 \text{ MeV}$
- $M(A,Z) + ^8\text{Be}(47.6 \text{ MeV}) \rightarrow M(A+8, Z+4) + Q$
- $^{88}\text{Sr} + ^8\text{Be}(47.6 \text{ MeV}) \rightarrow ^{96}\text{Mo} + Q$
- $^{133}\text{Cs} + ^8\text{Be}(47.6 \text{ MeV}) \rightarrow ^{141}\text{Pr} + Q$

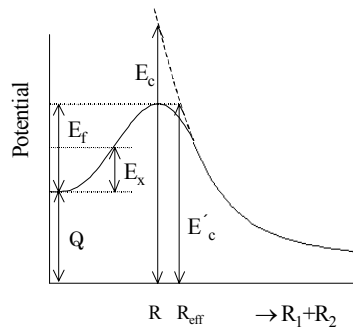
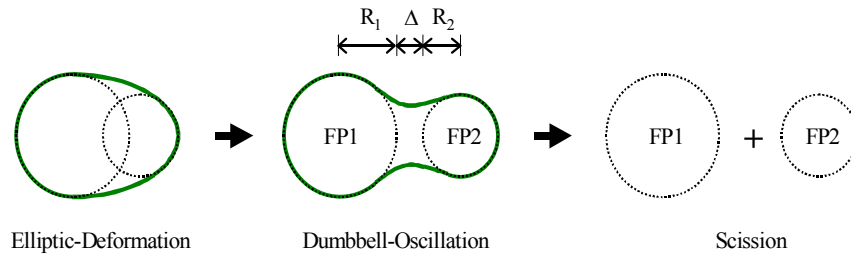
Deformed cloud of ^8Be makes large contact surface of pion-exchange for capture (fusion) reaction.



OUTLINE-2: Selective Channel Fission Theory

- **2.1 Channel Dependent Fission Barrier**
- **2.2 Rotating Liquid Drop Model**
- **2.3 Selective Channel Scissions**
- **2.4 Test by U-235 + n Fission**
- **2.5 Pd, W, Au**
- **2.7 A-Distribution, Z-Distribution, Isotopes and Radioactivity**

Fission Barrier by Rotating Liquid Drop Model



$$E_c \propto Z_1 Z_2 / (R_1 + R_2)$$

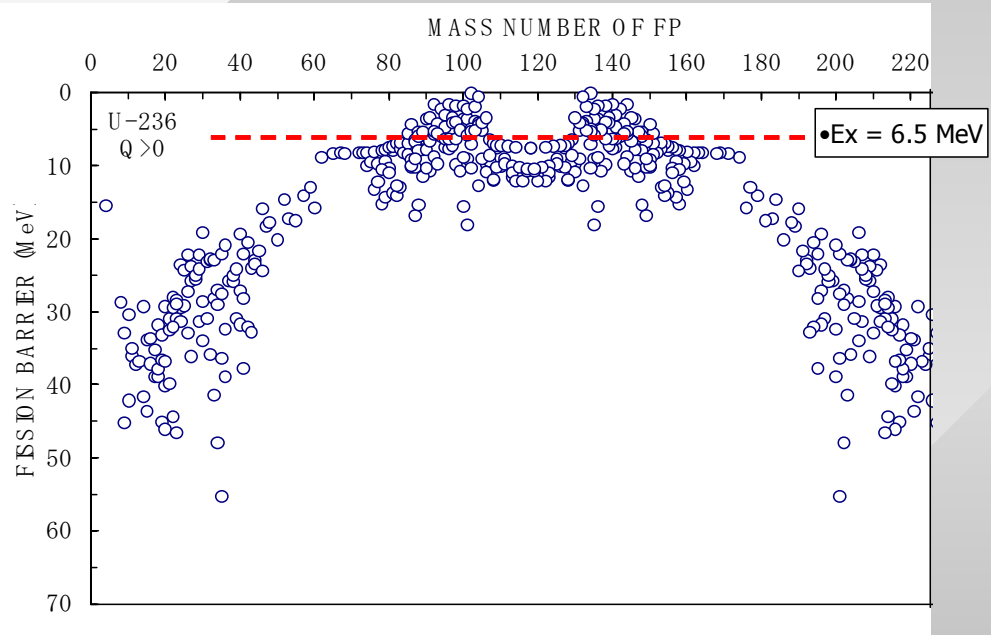
:Coulomb repulsion

$$R \propto A^{1/3}$$

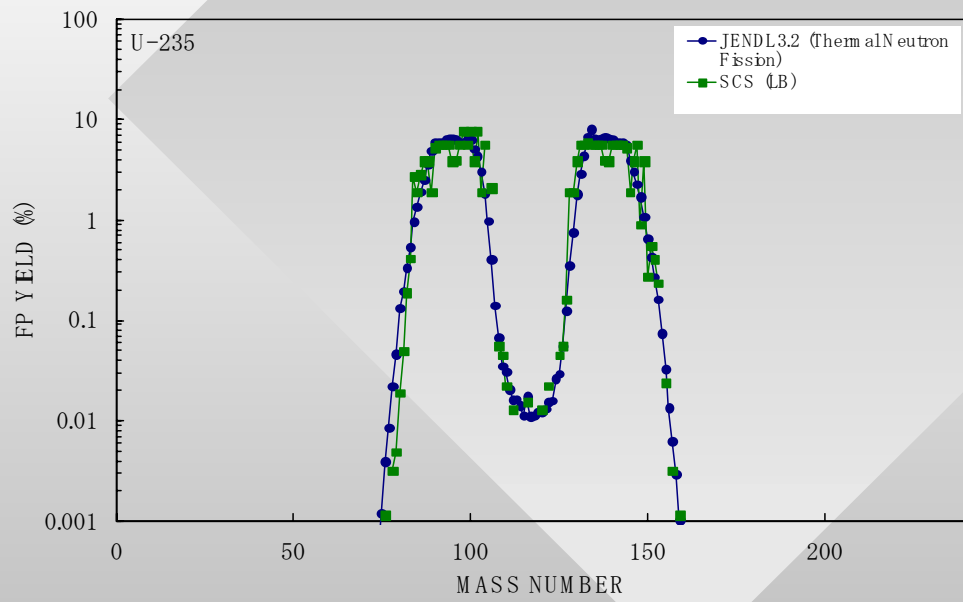
E'_c : Effective Coulomb Energy
 E_x : Excitation Energy
 E_f : Fission Barrier
 $R_{\text{eff}} = R_1 + R_2 + \Delta$
 Δ : Scission Distance
 $(\Delta(A) = \alpha(A)\epsilon(A)R_{\text{eff}}$ shown later)

Fig.3 : Tandem (dumbbell dipole) oscillation and scission process

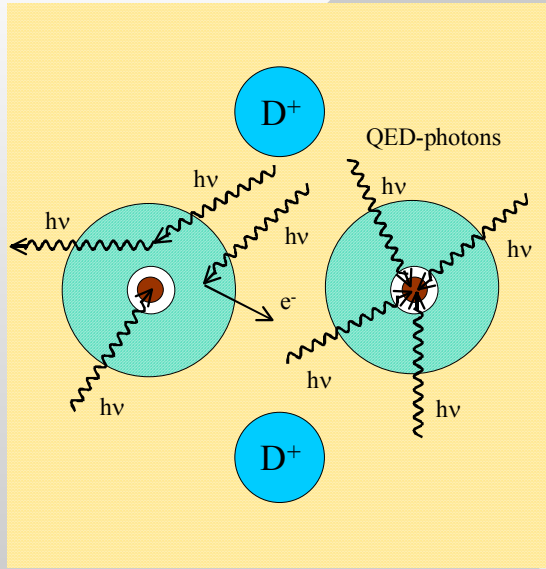
Channel Dependent Fission Barriers for U-235 + n



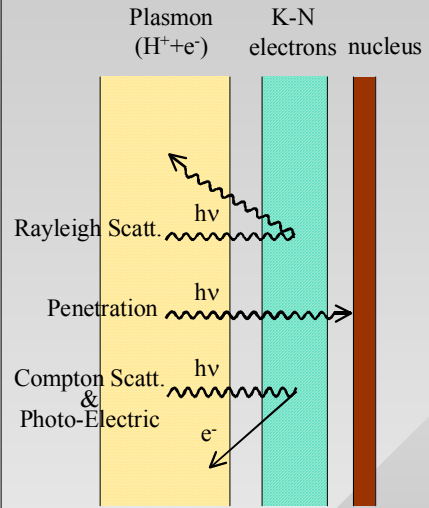
FP Distribution for U-235 + n Fission



Multi-Photon Absorption Process in PdDx



2D-model



1D-model

Fig.1 : Multi-Photon Absorption in Pd-nucleus by QED Coupling to PdDx Plasma Oscillation

Determination of Fission Barrier Height for Pd

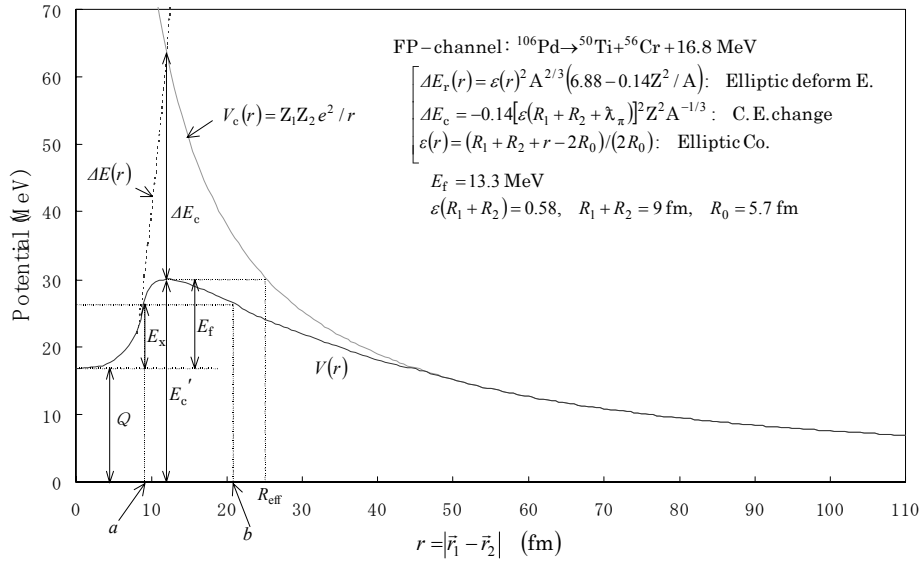
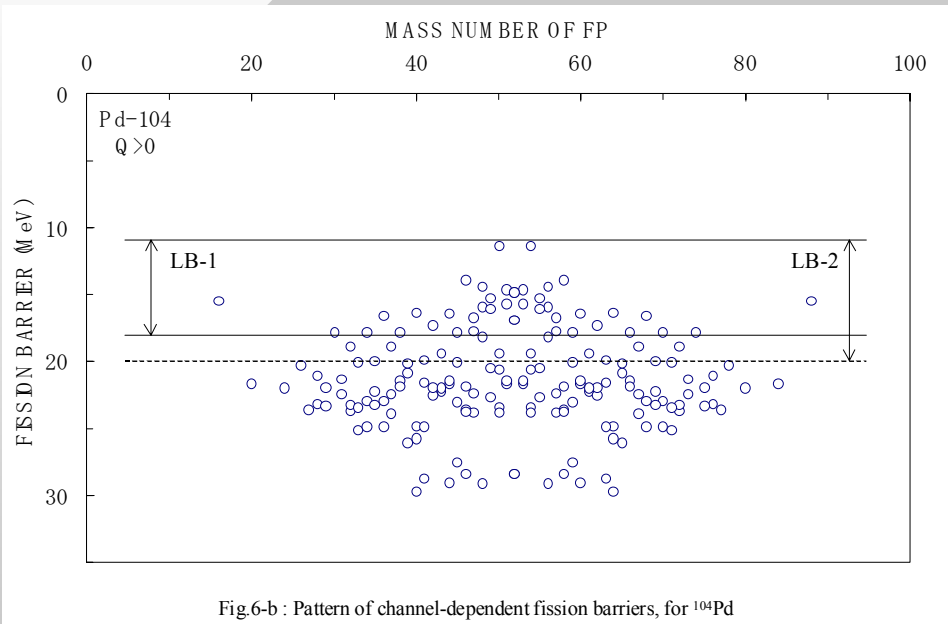


Fig.4 : Fission potential for a scission channel of ^{106}Pd

Channel Dependent Fission Barriers for Pd-104



Channel Dependent Fission Barriers for Pd-105

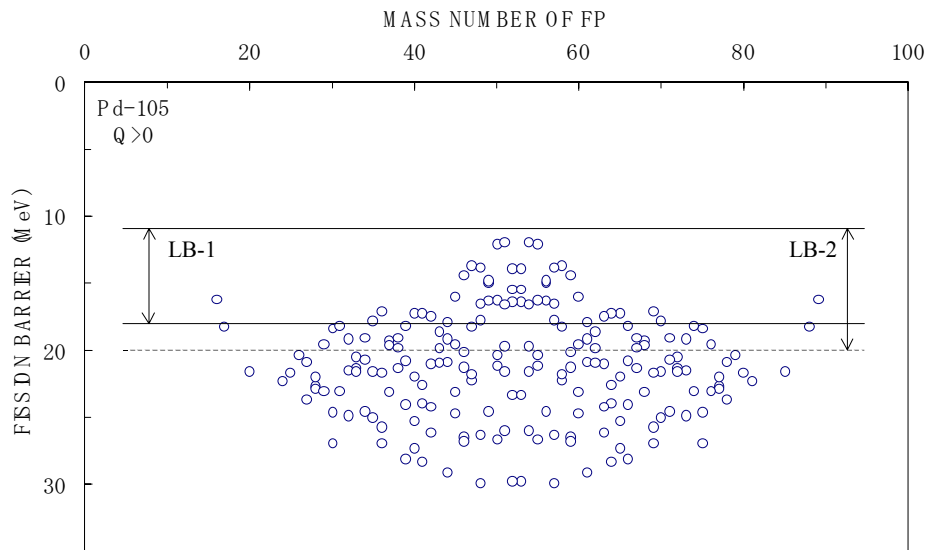


Fig.6-c : Pattern of channel-dependent fission barriers, for ^{105}Pd

Channel Dependent Fission Barriers for Au-197

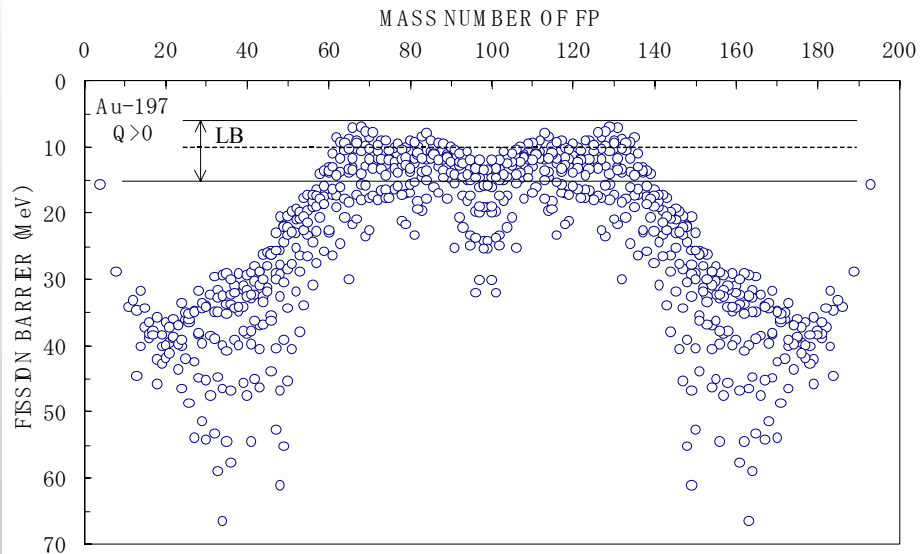


Fig.7 : Pattern of channel-dependent fission barriers, for ^{197}Au

Fission Products Mass-Distribution for Pd

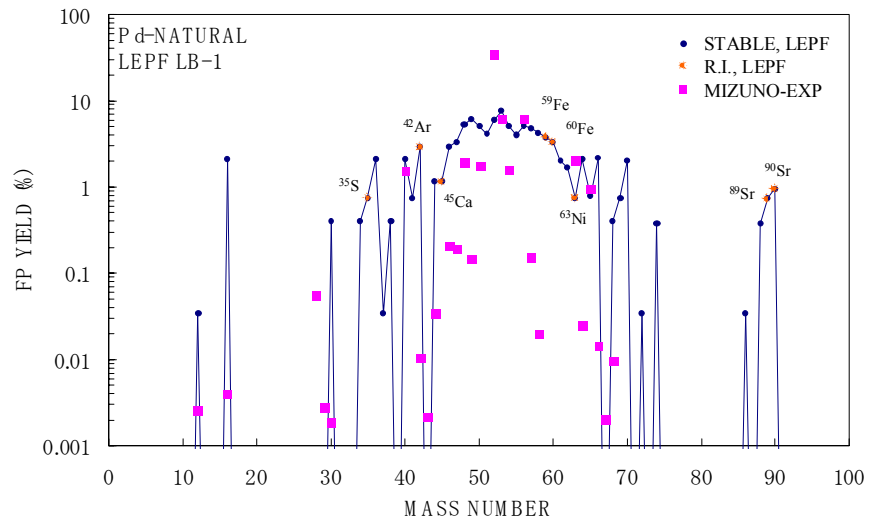


Fig.8 : FP mass-distribution by LEPF / LB1 model for Pd, compared with experiment by Mizuno.

FP Element-Distribution for Pd

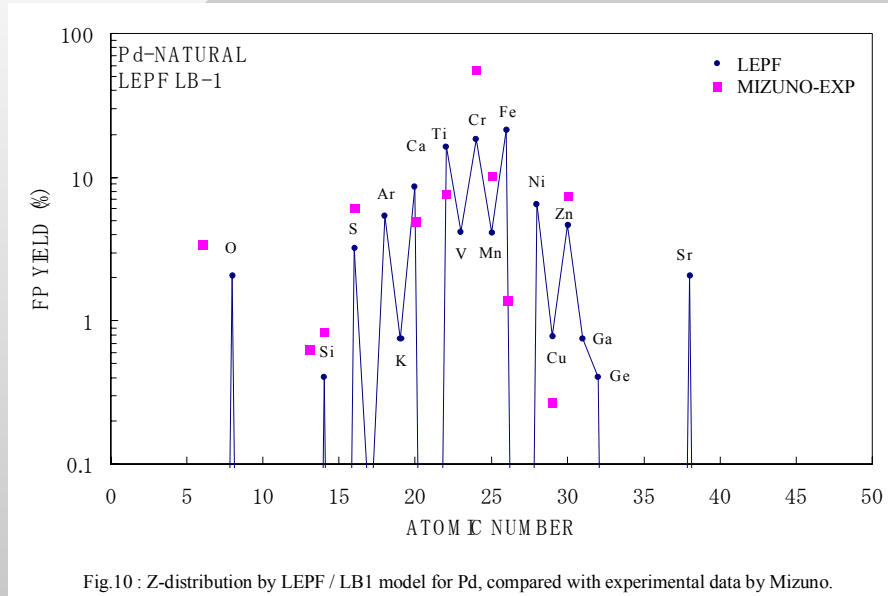
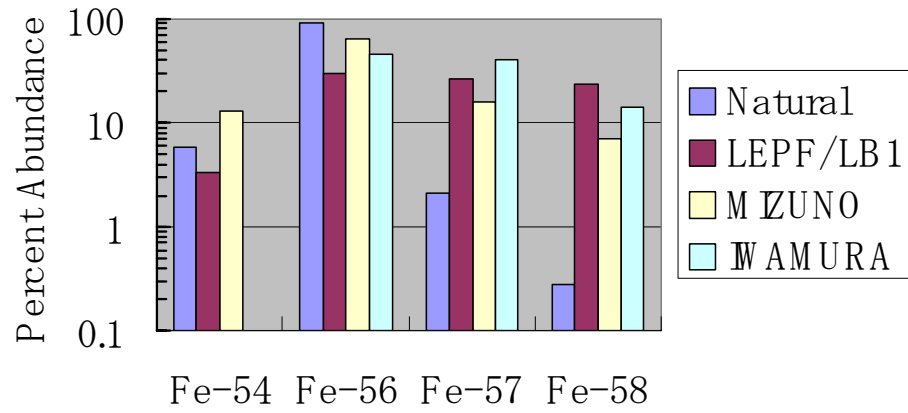


Fig.10 : Z-distribution by LEPF / LB1 model for Pd, compared with experimental data by Mizuno.

Anomaly of Isotopic Ratios

Fig. : Comparison of Isotopic ratios between natural Fe, LEPF/LB1 and experiment



Top 10 FP Channels for Pd Photo-Fission

- (1) $^{104}\text{Pd} \rightarrow ^{50}\text{Ti} + ^{54}\text{Cr} + 18.96 \text{ MeV}$ ($E_f = 11.36 \text{ MeV}$)
- (2) $^{102}\text{Pd} \rightarrow ^{50}\text{Ti} + ^{52}\text{Cr} + 18.91 \text{ MeV}$ ($E_f = 11.60 \text{ MeV}$)
- (3) $^{105}\text{Pd} \rightarrow ^{51}\text{Ti}(5.8 \text{ m}) + ^{51}\text{V} + ^{54}\text{Cr} + 18.24 \text{ MeV}$ ($E_f = 11.98 \text{ MeV}$)
- (4) $^{105}\text{Pd} \rightarrow ^{50}\text{Ti} + ^{55}\text{Cr}(3.5 \text{ m}) + ^{55}\text{Mn} + 18.12 \text{ MeV}$ ($E_f = 12.11 \text{ MeV}$)
- (5) $^{102}\text{Pd} \rightarrow ^{48}\text{Ti} + ^{54}\text{Cr} + 17.49 \text{ MeV}$ ($E_f = 13.03 \text{ MeV}$)
- (6) $^{106}\text{Pd} \rightarrow ^{48}\text{Ca} + ^{58}\text{Fe} + 16.46 \text{ MeV}$ ($E_f = 13.23 \text{ MeV}$)
- (7) $^{106}\text{Pd} \rightarrow ^{50}\text{Ti} + ^{56}\text{Cr}(6 \text{ m}) + ^{56}\text{Mn}(2.6 \text{ h}) + ^{56}\text{Fe} + 16.81 \text{ MeV}$
($E_f = 13.32 \text{ MeV}$)
- (8) $^{108}\text{Pd} \rightarrow ^{48}\text{Ca} + ^{60}\text{Fe}(1.6 \times 10^6 \text{ y})^* + 16.10 \text{ MeV}$ ($E_f = 13.42 \text{ MeV}$)
- (9) $^{106}\text{Pd} \rightarrow ^{52}\text{Ti}(1.7 \text{ m}) + ^{52}\text{V}(3.7 \text{ m}) + ^{52}\text{Cr} + ^{54}\text{Cr} + 16.49 \text{ MeV}$
($E_f = 13.63 \text{ MeV}$)
- (10) $^{105}\text{Pd} \rightarrow ^{48}\text{Ca} + ^{57}\text{Fe} + 15.98 \text{ MeV}$ ($E_f = 13.81 \text{ MeV}$)

Fission Products for $A < 200$ become clean.

CONCLUSION

- **EQPET model was proposed to explain super-screening for d-d fusion in condensed matter**
- **D-Cluster Fusion can have Resonance for 3D, 4D and 8D Strong Interaction**
- **^4He is Major Product, with minor t and ^3He**
- **Mass-8 & Charge-4 Increased
Transmutation is possible by High-E ^8Be
by 8D fusion**

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